



PTO/SB/21 (09-04)

May 12, 2006

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

George Painter

Typed or printed name



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

## Richard G. PACE, Martin K. HASEGAWA, and Ronald K. FERGUSON

Confirmation No. 7506

Application No. 10/783,835

Filed: February 20, 2004

For: REORGANIZATION AND REPAIR
OF AN ICF CATALOG WHILE OPEN
AND IN-USE IN A DIGITAL DATA
STORAGE SYSTEM

Group Art Unit: 2171

Examiner:

Attorney Docket No. 70205/12:1

Date: May 12, 2006

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## TO THE COMMISSIONER FOR PATENTS:

1.		Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.		
		Copies of listed U.S. patents and U.S. patent application publications are not required for submission. (37 C.F.R. § 1.98(a)(2)(ii))		
		Copies of listed foreign patent documents and/or non-patent literature are enclosed. (37 C.F.R. § 1.98(a)(2))		
		Copies of the documents listed at (sheet/cite no.) of the attached Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No, filed, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))		
		A copy of copending U.S. Patent Application No, filed, for, listed at (sheet/cite no.) of the attached Form PTO-1449 (or equivalent), is enclosed / is omitted. (Copy not required if available via IFW. 1287 OG 163 (Oct. 19, 2004).).		
2.	This is	This information disclosure statement is being submitted (check box a., b., or c.):		
	a.	Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a		

			first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or			
	b.		After the period set forth in paragraph 2a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)			
		i.	The \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is paid herewith, or			
		ii.	A statement specified by 37 CFR 1.97(e) is set forth below; or			
	c.		After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is the \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).			
3. Fee Payment						
	a.		A check in the amount of \$ is enclosed.			
	b.		Please charge our Deposit Account No. 19-4455 in the amount of \$			
	c.	$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees which may be required in connection with filing of these papers, or credit overpayment to Deposit Account No. 19-4455.			
4.	If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:					
		each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or				
		cited in applica making inform 37 CFI	n of information contained in this information disclosure statement was a communication from a foreign patent office in a counterpart foreign ation, and, to the knowledge of the person signing the certification after g reasonable inquiry, no item of information contained in this ation disclosure statement was known to any individual designated in R 1.56(c) more than three months prior to the filing of the information sure statement.			

5.		A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.			
		Respectfully submitted,			
		Mainstar Software Corporation			
	EL RIVE	By Micah D. Stolowitz Registration No. 32,758			

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Sheet 1 of 1 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. (REV. 7-80) PATENT AND TRADEMARK OFFICE 70205/12:1 10/783,835 APPLICANTS SUPPLEMENTAL INFORMATION DISCLOSURE CITATION Richard G. PACE, Martin K. HASEGAWA, and (Uses several sheets if necessary) Ronald K. FERGUSON FILING DATE **GROUP** February 20, 2004 2171 U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT **FILING DATE SUBCLASS** INITIAL NUMBER DATE NAME **CLASS** IF APPROPRIATE AA 5,222,235 6/22/1993 Hintz et al. 7/17/2003 2003/135478 Marshall et al. AB AC AD ΑE AF AG AΗ ΑI ΑJ ΑK **FOREIGN PATENT DOCUMENTS** TRANSLATION **EXAMINER DOCUMENT** INITIAL NUMBER COUNTRY **SUBCLASS** DATE **CLASS** YES NO ALEP0549140A 6/30/1993 **EPO** AM AN ΑO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AQ International Search Report, PCT/US2005/005414, issued April 27, 2006.

AR Sockut et al., "Database Reorganization-Principles and Practice" Computing Surveys, Vol. 11, No. 4, December 1979.

AS

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AP